

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:	)	
	)	<b>Group Art Unit:</b> N/A
<b>Farhad Khosravi</b>	)	
	)	<b>Examiner:</b> N/A
<b>Serial No.:</b> Not yet assigned	)	
	)	
<b>Filed:</b> Herewith	)	
	)	
<b>For:</b> DEPOLYABLE RECOVERABLE	)	
VASCULAR FILTER AND METHODS OF	)	
USE	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby disclose to the Patent Office patents, publications, and other information of which they are aware. A Form PTO-1449 listing the above items for consideration by the examiner is attached hereto. Copies of the cited references were previously cited by or submitted to the Patent Office in connection with the prior application Serial No. 09/929,474 which this application claims priority under 35 U.S.C. § 120. Accordingly, copies of the cited references are not being separately provided in this application pursuant to 37 C.F.R. § 1.98(d).

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria Virginia 22313-1450

EV2281087624US  
Express Mail Label No.

March 16, 2004  
Date of Deposit  
DOCSOC1:148711.1

Valerie Cloyd

Name of Person Mailing Paper

  
Signature of Person Mailing Paper

The items cited on the attached Form PTO-1449 may or may not be material to the patentability of the claims in the above-identified application, and their submission is not to be construed as an admission that the items are material or considered to be material (37 C.F.R. § 1.97(h)), or even that they qualify as "prior art" under 35 U.S.C. § 102 with respect to the claimed invention unless specifically designated as such. Identification of any publication or patent having an issue date or a publication date after the statutory bar date is not an admission that the publication was published, or that the patent was filed, before the invention claimed in this application was made by Applicants.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that additional information material to the examination of this application does not exist.

No Fee

Because this statement is being submitted prior to the first action on the merits, no fee is required under 37 C.F.R. § 1.97(b). However, should the Patent Office determine that a fee is required, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 12-2475 for the fee.

Respectfully submitted,  
ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: March 16, 2004

By



Charles C. Fowler  
Reg. No. 39,675  
Attorney for Applicants

4 Park Plaza, Suite 1600  
Irvine, California 92614  
(949) 567-6700 Telephone  
(949) 567-6710 Facsimile

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Farhad Khosravi

FILING DATE:

Herewith

GROUP:

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,969,891	11/13/1990	Gewertz			
	5,626,605	5/6/1997	Irie, et al.			
	5,669,933	9/23/1997	Simon, et al.			
	5,800,525	9/1/1998	Bachinski, et al.			
	5,836,968	11/17/1998	Simon, et al.			
	5,893,869	4/13/1999	Barnhart, et al.			
	5,928,261	7/27/1999	Ruiz			
	5,941,896	8/1999	Kerr			
	5,984,947	11/16/1999	Smith			
	6,007,558	12/28/1999	Ravenscroft, et al.			
	6,027,520	2/22/2000	Tsugita, et al.			
	6,007,558	12/28/1999	Kleshinski, et al.			
	6,152,946	11/28/2000	Broome, et al.			
	6,179,859	1/2001	Bates, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	FR 2,567,405	1/17/1986	France			

Examiner

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 702563.4011	<b>SERIAL NO.</b> Not yet assigned
	<b>APPLICANT:</b> Farhad Khosravi	
	<b>FILING DATE:</b> Herewith	<b>GROUP:</b>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		PCT Publication No. WO 99/23976, "An Embolic Protection Device" May 20, 1999
		PCT Publication No. WO 99/23976, November 9, 1997
		PCT Publication No. WO 99/44510 A, September 10, 1999
		PCT Publication No. WO 99/22673 A, May 14, 1999
		PCT Publication No. WO 99/23976 A, May 20, 1999

<b>Examiner</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	